



# UNIVERSAL PRIME ALUMINIUM LIMITED

REGD. OFFICE : Century Bhavan, 771, Dr. Annie Besant Road, Worli, Mumbai - 400 030

Tel : 022 - 2430 7437, E.mail : upalbbby@gmail.com, Web : www.universalprime.in

CIN : L28129MH1971PLC015207

## **FORM NO. MGT - 11**

### **PROXY FORM**

[Pursuant to section 105(6) of the Companies Act, 2013 and rule 19(3) of the Companies (Management and Administration) Rules, 2014 ]

Name of the Member (s) : \_\_\_\_\_

Registered Address : \_\_\_\_\_

E-mail Id: \_\_\_\_\_

DP ID / Client Id / Folio No : \_\_\_\_\_

I/We, being the member (s) of ..... shares of the above named company, hereby appoint

1. Name: \_\_\_\_\_

Address: \_\_\_\_\_

E-mail Id: \_\_\_\_\_

Signature: \_\_\_\_\_ or failing him/her

2. Name: \_\_\_\_\_

Address: \_\_\_\_\_

E-mail Id: \_\_\_\_\_

Signature: \_\_\_\_\_ or failing him/her

3. Name: \_\_\_\_\_

Address: \_\_\_\_\_

E-mail Id: \_\_\_\_\_

Signature: \_\_\_\_\_ or failing him/her

as my/our proxy to attend and vote (on a poll) for me/us and on my/our behalf at the 47th Annual General Meeting of the company, to be held on Saturday, 20th September, 2019 at Century Bhavan, 771, Dr. Annie Besant Road, Worli, Mumbai - 400 030, at 10.00 a.m. and at any adjournment thereof in respect of such resolutions as are indicated below :

Resolution No.	Resolution	Type of resolution Ordinary / Special	I/we assent to the resolution (For)*	I/we dissent to the resolution (Against)*
<b>ORDINARY BUSINESS</b>				
1.	Adoption of the Audited Financial Statements of the Company for the year ended 31st March, 2019 comprises of Balance Sheet as at 31st March, 2019 and the Statement of Profit and Loss and Cash Flow Statement for the financial year ended on that date together with the reports of the Board of Directors' and Auditors' thereon.	Ordinary		
2.	To appoint a Director in place of Mr. Gokul Chand Damani (DIN : 01350121) who retires by rotation at this Annual General Meeting and being eligible, offers himself for re-appointment.	Ordinary		

Resolution No.	Resolution	Type of resolution Ordinary / Special	I/we assent to the resolution (For)*	I/we dissent to the resolution (Against)*
<b>SPECIAL BUSINESS</b>				
3.	To appoint Shri Nawal Kishor Bagri (DIN: 08480264), as Non-Executive Independent Director of the Company so as to hold office for five consecutive years for a term up to 2024 and that he shall not be liable to retire by rotation.	Ordinary		
4.	To appoint of Shri Gokul Chand Damani(DIN: 00191101), as Whole-Time Director of the Company who has attained the age of 70 (Seventy) years.	Special		
5.	To borrow from time to time, any sum or sums of monies, in excess of the paid-up capital and free reserves that is to say, reserves not set apart for any specific purpose, provided that the total outstanding amount so borrowed shall not at any time exceed the limit of Rs. 15 Crores (Rupees Fifteen Crores Only).	Special		
6.	To make Investment(s), Loans, Guarantees and security in excess of limits specified under section 186 of Companies Act, 2013 upto an amount of Rs. 30 Crores (Rupees Thirty Crores Only).	Special		

Signed this..... day of..... 2019

Signature of shareholder .....

Signature of Proxy holder(s) .....

Affix a ₹ 1 Revenue Stamp
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**Notes:**

1. This form of proxy in order to be effective should be duly completed and deposited at the Registered Office of the Company, not less than 48 hours before the commencement of the Meeting.
2. A Proxy need not be a member of the Company and shall prove his identity at the time of attending the Meeting.
3. A person can act as a proxy on behalf of the members not exceeding 50 and holding in aggregate not more than 10% of the total share capital of the Company carrying voting rights. Further, a member may appoint a single person as proxy and such person shall not act as a proxy for any other person or Member
4. In case of joint holders, the vote of the senior who tenders as vote, whether in person or by Proxy, shall be accepted to the exclusion to the vote of the other joint holders. Seniority shall be determined by the order in which the name stand in the register of members.
5. This is optional. Please put a tick mark (✓) in appropriate column against the resolution indicated above. In case of member wishes his/her vote to be used differently, he/she should indicate the number of shares under the columns 'For', 'Against'. In case the member leaves the column(s) blank, the proxy will be entitled to vote in the manner he/she thinks appropriate.
6. Appointing a proxy does not prevent a member from attending the Meeting in person if he / she so wishes. When a Member appoints a proxy and both the Member and proxy attend the Meeting, the proxy will stand automatically revoked.
7. This form of proxy will be valid only if it is duly completed in all respects, properly stamped and submitted as per the applicable law. Incomplete form or form which remains unstamped or inadequately stamped or form upon which the stamps have not been cancelled will be treated as invalid.
8. Undated proxy form will not be considered valid.
9. If Company receives multiple proxies for the same holdings of a member, the proxy which is dated last will be considered valid; if they are not dated or bear the same date without specific mention of time, all such multiple proxies will be treated as invalid.